# IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLORADO

Civil Action No. 1:19-cv-00124-WJM-SKC
Consolidated with Civil Action No. 1:19-cv-00758-WJM-SKC

OREGON LABORERS EMPLOYERS PENSION TRUST FUND, Indivdually and On Behalf of All Others Similarly Situated,

Plaintiff,

٧.

MAXAR TECHNOLOGIES INC., HOWARD L. LANCE, and ANIL WIRASEKARA,

Defendants.

### PROOF OF CLAIM AND RELEASE FORM

### I. GENERAL INSTRUCTIONS

- 1. To recover as a Member of the Class based on your claims in the action entitled *Oregon Laborers Employers Pension Trust Fund v. Maxar Technologies Inc., et al.*, Civil Action No. 1:19-cv-00124-WJM-SKC (D. Colo.) (the "Action" or "Litigation"), you must complete and, on page 6 hereof, sign this Proof of Claim and Release Form ("Claim Form"). If you fail to submit a timely and properly addressed (as set forth in paragraph 3 below) Claim Form, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement.
- 2. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement of the Litigation.
- 3. YOU MUST MAIL OR SUBMIT ONLINE YOUR COMPLETED AND SIGNED CLAIM FORM, ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, NO LATER THAN OCTOBER 20, 2023, TO THE COURT-APPOINTED CLAIMS ADMINISTRATOR IN THIS CASE, AT THE FOLLOWING ADDRESS:

Maxar Securities Litigation
Claims Administrator
c/o Gilardi & Co. LLC
P.O. Box 301133
Los Angeles, CA 90030-1133

Online Submissions: www.MaxarSecuritiesClassLitigation.com

- 4. If you are NOT a Member of the Class, as defined in the Notice of (I) Proposed Settlement and Plan of Allocation; (II) Settlement Hearing; and (III) Motion for an Award of Attorneys' Fees and Litigation Expenses ("Notice" or "Settlement Notice"), DO NOT submit a Claim Form or direct a third party to file one on your behalf.
- 5. If you are a Class Member and you do not timely and validly request exclusion in response to the Settlement Notice, you will be bound by the terms of any judgment entered in the Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A CLAIM FORM.
- 6. It is important that you completely read and understand the Notice that accompanies this Claim Form, including the proposed Settlement and Plan of Allocation, set forth in the Notice. The Notice describes the proposed Settlement, how Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved by the Court. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Notice, including the terms of the releases described therein and provided for herein.

All capitalized terms used in this Claim Form that are not otherwise defined herein shall have the meanings provided in the Stipulation of Settlement dated September 12, 2022 (the "Stipulation"), which is available on the website for the Action at www.MaxarSecuritiesClassLitigation.com.

## II. CLAIMANT IDENTIFICATION

If you purchased or acquired Maxar Technologies, Inc. ("Maxar") common stock and held the certificate(s) in your name, you are the beneficial purchaser or acquirer as well as the record purchaser or acquirer. If, however, you purchased or acquired Maxar common stock and the certificate(s) were registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial purchaser or acquirer and the third party is the record purchaser or acquirer.

Use Part I of this form entitled "Claimant Identification" to identify each purchaser or acquiror of record ("nominee"), if different from the beneficial purchaser or acquirer of Maxar common stock, that forms the basis of this claim. THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL PURCHASER(S) OR ACQUIRER(S) OR THE LEGAL REPRESENTATIVE OF SUCH PURCHASER(S) OR ACQUIRER(S) OF THE MAXAR COMMON STOCK UPON WHICH THIS CLAIM IS BASED.

All joint purchasers must sign this claim. Executors, administrators, guardians, conservators, and trustees or others acting in a representative capacity on behalf of a Class Member must complete and sign this claim on behalf of persons represented by them, and submit evidence of their current authority to act on behalf of that Class Member, including stating their titles or capacities. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

A claim should be submitted for each separate legal entity (*e.g.*, a Claim Form of joint owners should not include separate transactions of just one of the joint owners, and an individual with transactions made solely in the individual's name). Conversely, a single Claim Form should be submitted on behalf of one legal entity including all transactions made by that entity on one Claim Form, no matter how many separate accounts that entity has (*e.g.*, a corporation with multiple brokerage accounts should include all transactions made in all accounts on one Claim Form).

PLEASE NOTE: As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her, or its *pro rata* share of the Net Settlement Fund. If the prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

## III. MAXAR COMMON STOCK TRANSACTIONS

Use Part II of this form entitled "Schedule of Transactions in Maxar Common Stock" to supply all required details of your transaction(s) in Maxar common stock. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

On the schedules, provide all of the requested information with respect to **all** of your purchases or acquisitions and **all** of your sales of Maxar common stock which took place during the period from May 9, 2018 through October 30, 2018, inclusive, whether such transactions resulted in a profit or a loss. You must also provide all of the requested information with respect to **all** of the Maxar common stock you held at the close of trading on May 8, 2018 and October 30, 2018. Failure to report all such transactions may result in the rejection of your claim.

List each transaction separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

The date of covering a "short sale" is deemed to be the date of purchase or acquisition of Maxar common stock. The date of a "short sale" is deemed to be the date of sale of Maxar common stock. In accordance with the Plan of Allocation, however, the Recognized Loss Amount on "short sales" is zero. In the event that a Claimant has an opening short position in Maxar common stock, the earliest purchases or acquisitions during the Class Period shall be matched against such opening short position and not be entitled to a recovery until that short position is fully covered.

For each transaction, copies of broker confirmations or other documentation of your transactions in Maxar common stock should be attached to your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. THE PARTIES DO NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS IN MAXAR COMMON STOCK.

NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. This is different from the online submission process that is available at www.MaxarSecuritiesClassLitigation.com. All claimants *must* submit a manually signed paper Claim Form whether or not they also submit electronic copies. If you have a large number of transactions and wish to file your claim electronically, you must contact the Claims Administrator at edata@gilardi.com to obtain the required file layout. Any file not in accordance with the required electronic filing format will be subject to rejection. Only one claim should be submitted for each separate legal entity and the complete name of the beneficial owner(s) of the securities must be entered where called for. Distribution payments must be made by check or electronic payment payable to the Authorized Claimant (beneficial account owner). The Third-Party Filer shall not be the payee of any distribution payment check or electronic distribution payment. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at edata@gilardi.com to inquire about your file and confirm it was received and is acceptable.

Official Office Use Only



# UNITED STATES DISTRICT COURT DISTRICT OF COLORADO

Oregon Laborers Employers Pension Trust Fund v. Maxar Technologies Inc., et al.,

Civil Action No. 1:19-cv-00124-WJM-SKC

Must Be Postmarked (if Mailed) or Received (if Submitted Online) No Later Than October 20, 2023

# **MXS**

<u>Please Type or Print in the Boxes Below</u> Do <u>NOT</u> use Red Ink, Pencil, or Staples

# PROOF OF CLAIM AND RELEASE FORM

PLEASE REMEMBER TO ATTACH COPIES OF BROKER CONFIRMATIONS OR OTHER DOCUMENTATION OF YOUR TRANSACTIONS IN MAXAR COMMON STOCK. FAILURE TO PROVIDE THIS DOCUMENTATION COULD DELAY VERIFICATION OF YOUR CLAIM OR RESULT IN REJECTION OF YOUR CLAIM.

PART I. CLAIMANT IDENTIFICATION								
Last Name	M.I.	First Name						
Last Name (Co-Beneficial Owner)	M.I.	First Name (Co-Beneficial Owner)						
IRA Joint Tenancy Employee	Individ							
Company Name (Beneficial Owner - If Claimant is not an Individual)	or Custo	dian Name if an IRA (specify)						
Trustee/Asset Manager/Nominee/Record Owner's Name (If Different from Beneficial Owner Listed Above)								
Account#/Fund# (Not Necessary for Individual Filers)								
Last Four Digits of Social Security Number Taxpayer Identification Number								
or —								
Telephone Number (Primary Daytime)  Telephone Number (Alternate)								
	_	_						
Email Address								
MAILING INFORMATION								
Address MAILING INFORMATION								
Address (cont.)								
City	Sta	te ZIP Code						
Foreign Province Foreign Postal Co	de	Foreign Country Name/Abbreviation						
FOR CLAIMS PROCESSING OB CB KE DR ME	OP RE	FOR CLAIMS PROCESSING						
PROCESSING OB OB I CB I KE DR ME ND ICI EM ND	SH	MM/DD/YYYYY PROCESSING ONLY						



# PART II. SCHEDULE OF TRANSACTIONS IN MAXAR COMMON STOCK

A. Number of shares of Maxar common stock held at the close of trading on May 8, 2018. If none, write "zero":									Proof Enclosed? Y N						
B. Purchases or acquisitions of Maxar common stock (May 9, 2018 – October 30, 2018, inclusive):  PURCHASES															
Trade Date(s) (List Chronologically)						Number of Shares Purchased or Acquired				Total Purchase or Acquisition Price (Excluding commissions, taxes and fees)				Proof of Purchase Enclosed?	
1.	M	M [	) D '	YYY	Y				\$					00	O Y
2.		/	/						\$					00	O N O Y
3.		/	/						\$					00	O N O Y
4.		/	/						\$					00	O N O Y
5.		/	/						\$						O N O Y
J.		/	1						Ψ					00	N
(ii) If	C. Sales of Maxar common stock (May 9, 2018 – October 30, 2018, inclusive):														
		SALES								Tota	l Sales	Price		Pro	of of
Trade Date(s) (List Chronologically)						Number of (Excluding commis Shares Sold taxes and fees						s, Sales Enclosed?			
	M	M [	) D `	YY	Υ				•						Y
1.		/	/						\$					00	O N
2.		/	/						\$					00	O Y O N
3.		/	/						\$					00	O Y O N
4.		/	/						\$					00	O Y O N
5.		1	/						\$					00	O Y O N
			ares of Ma											Enclos	

If you require additional space, attach extra schedules in the same format as above. Sign and print your name on each additional page.

YOU MUST READ AND SIGN THE RELEASE ON PAGE 6. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.



### IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

I (We) submit this Claim Form under the terms of the Stipulation of Settlement described in the Settlement Notice. I (We) also submit to the jurisdiction of the United States District Court for the District of Colorado with respect to my (our) claim as a Class Member and for purposes of enforcing the release set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Litigation. I (We) agree to furnish additional information to the Claims Administrator to support this claim (including transactions in other Maxar securities) if requested to do so. I (We) have not submitted any other claim covering the same purchases, acquisitions or sales of Maxar common stock during the relevant period and know of no other person having done so on my (our) behalf.

### V. RELEASE

- 1. Upon the Effective Date of the Settlement, I (we) acknowledge full and complete satisfaction of, and fully, finally, and forever settle, release, and discharge from the Released Claims each and all of the "Released Defendant Parties," defined as each and all of Defendants, and each of their past, present and future predecessors, successors, parent corporations, sister corporations, subsidiaries, affiliates, assigns, assignors, heirs, legatees, devisees, executors, administrators, estates, receivers and trustees, settlors, beneficiaries, officers, directors, shareholders, employees, servants, agents, partners, insurers, reinsurers, representatives, attorneys, legal representatives, and all persons acting by, through, under or in concert with them or any of them.
- "Released Claims" means any and all claims and causes of action of every nature and description, including Unknown Claims (as defined below), that were or could have been alleged in the Litigation or could in the future be asserted in any forum, domestic or foreign, whether arising under federal, state, common, or foreign law, arising out of, based upon, or in any way related to the purchase or acquisition of Maxar common stock by Class Members during the Class Period and any allegations, acts, transactions, facts, events, matters, occurrences, representations, statements or omissions that were or could have been set forth, alleged, referred to, or asserted in the Litigation by Lead Plaintiff or Members of the Class. Notwithstanding the aforementioned, Released Claims expressly exclude claims: (i) related to the enforcement of the Settlement; (ii) between Defendants and their respective insurers or other third parties who are not defined as "Released Defendant Parties" above; (iii) asserted in In re Maxar Technologies, Inc. Securities Litigation, Case No. 19CV357070 (Santa Clara County Sup. Ct.) (the "State Action"), derivatively in Dorling v. Lance, et al., 19-cv-02134 (D. Del.), Golub v. Lance, et al., 20-cv-01251 (D. Del.), or Egan v. Lance, et al., 2021-0796-PAF (Del. Ch.) (the "Derivative Actions"), or in O'Brien v. Maxar Technologies Inc., et al., No. cv-19-00631109-00CP (Ontario Superior Court of Justice) if and only if the following two conditions are met: (a) the claims and causes of action being asserted arise exclusively under the laws of Canada, and (b) the claims and causes of action being asserted by any Person are beyond the jurisdiction of the United States District Court for the District of Colorado in this Litigation under the principles established in Morrison v. Nat'l Australia Bank Ltd., et al., 561 U.S. 247 (2010) and its progeny; or (iv) of any Class Member who properly and timely excludes himself, herself or itself from the Class.
- 3. "Unknown Claims" means (a) any and all Released Claims or Released Defendants' Claims which any of the Releasing Plaintiff Parties do not know or suspect to exist in his, her, or its favor at the time of the release of the Released Defendant Parties, which, if known by him, her, or it, might have affected his, her, or its settlement with and release of the Released Defendant Parties, or might have affected his, her, or its decision(s) with respect to the Settlement, including, but not limited to, whether or not to object to this Settlement or seek exclusion from the Class; and (b) any and all Released Defendants' Claims that any of the Released Defendant Parties do not know or suspect to exist in his, her, or its favor at the time of the release of the Releasing Plaintiff Parties, which, if known by him, her, or it, might have affected his, her, or its settlement with and release of the Releasing Plaintiff Parties. With respect to (a) any and all Released Claims against the Released Defendant Parties, and (b) any and all Released Defendants' Claims against the Releasing Plaintiff Parties, the Settling Parties stipulate and agree that, upon the Effective Date, the Settling Parties shall expressly waive, and each Releasing Plaintiff Party and Defendants shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the debtor or released party.

The Settling Parties shall expressly waive, and each Releasing Plaintiff Party and Defendants shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States, or principle of common law, which is similar, comparable, or equivalent to California Civil Code §1542. The Releasing Plaintiff Parties and Defendants acknowledge that they may hereafter discover facts, legal theories or authorities in addition to or different from those which he, she, it or their counsel now knows or believes to be true with respect to the subject matter of the Released Claims or Released Defendants' Claims, but (a) the Releasing Plaintiff Parties shall expressly fully, finally, and forever waive, compromise, settle, discharge, extinguish and release, and each Releasing Plaintiff Party shall be deemed to have waived, compromised, settled, discharged, and released, and, upon the Effective Date, and by operation of the Judgment, shall have waived, compromised, settled, discharged, extinguished, and released, fully, finally, and forever, any and all Released Claims against the Released Defendant Parties, known or unknown, suspected or unsuspected, contingent or non-contingent, accrued or unaccrued, whether or not concealed or hidden, which now exist, or heretofore have existed, or may hereafter exist, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to,



conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts, legal theories, or authorities, and (b) the Defendants shall expressly fully, finally, and forever waive, compromise, settle, discharge, extinguish and release, and, upon the Effective Date, and by operation of the Judgment, shall have waived, compromised, settled, discharged, extinguished, and released, fully, finally, and forever, any and all Released Defendants' Claims against the Releasing Plaintiff Parties, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts, legal theories, or authorities. The Settling Parties acknowledge, and the Releasing Plaintiff Parties and Released Defendant Parties shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and is an essential element of the Settlement of which this release is a part.

- 4. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.
- 5. I (We) hereby warrant and represent that I (we) have included the information requested about all of my (our) transactions in Maxar common stock which are the subject of this claim, which occurred during the relevant periods, as well as the opening and closing positions in such shares held by me (us) on the dates requested in this Claim Form.

I declare under penalty of perjury under the laws of the United States of America that all of the foregoing information supplied on this Claim Form by the undersigned is true and correct.

Executed this	day of	in				
		(Month/Year)	(City/State/Country)			
(Sign your name here)			(Sign your name here)			
(Type or print your name here)			(Type or print your name here)			
(Capacity of person(s) signing, of Beneficial Purchaser or Acquire		dministrator)	(Capacity of person(s) signing, <i>e.g.</i> ,  Beneficial Purchaser or Acquirer, Executor or Administrator)			

# ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME. THANK YOU FOR YOUR PATIENCE.

### Reminder Checklist:

- 1. Please sign the above release and acknowledgment.
- 2. If this claim is being made on behalf of Joint Claimants, then both must sign.
- 3. Remember to attach copies of supporting documentation, if available.
- 4. **Do not send** originals of certificates or other documentation as they will not be returned.
- 5. Keep a copy of your Claim Form and all supporting documentation for your records.
- If you desire an acknowledgment of receipt of your Claim Form, please send it Certified Mail, Return Receipt Requested.
- 7. If you move, please send your new address to the address below.
- 8. **Do not use red pen or highlighter** on the Claim Form or supporting documentation.

# THIS CLAIM FORM MUST BE SUBMITTED ONLINE OR MAILED NO LATER THAN OCTOBER 20, 2023, ADDRESSED AS FOLLOWS:

Maxar Securities Litigation
Claims Administrator
c/o Gilardi & Co. LLC
P.O. Box 301133
Los Angeles, CA 90030-1133
www.MaxarSecuritiesClassLitigation.com

