

**UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

PENSION TRUST FUND FOR OPERATING
ENGINEERS, Individually and on Behalf of All
Others Similarly Situated,

Plaintiff,

v.

DEVRY EDUCATION GROUP, INC., DANIEL
HAMBURGER, RICHARD M. GUNST, PATRICK J.
UNZICKER, AND TIMOTHY J. WIGGINS,

Defendants.

Case No. 1:16-cv-05198

Hon. Mary M. Rowland

PROOF OF CLAIM AND RELEASE

A. GENERAL INSTRUCTIONS

1. To recover as a member of the Settlement Class based on your claims in the action entitled *Pension Trust Fund for Operating Engineers v. DeVry Education Group, Inc., et al.*, No. 1:16-cv-05198 (N.D. Ill.) (the "Action"), you must complete and, on page 9 below, sign this Proof of Claim and Release form ("Claim Form"). If you fail to submit a timely and properly addressed (as explained in paragraph 3 below) Claim Form, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement.¹
2. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement of the Action.
3. **THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT WWW.DEVRYSECURITIESSETTLEMENT.COM NO LATER THAN NOVEMBER 29, 2019 OR, IF MAILED, BE POSTMARKED NO LATER THAN NOVEMBER 29, 2019, ADDRESSED AS FOLLOWS:**

DeVry Securities Settlement
c/o KCC Class Action Services
P.O. Box 43041
Providence, RI 02940-3041
www.DevrySecuritiesSettlement.com

4. If you are a member of the Settlement Class and you do not timely request exclusion in response to the Notice dated September 13, 2019, you are bound by the terms of any judgment entered in the Action, including the releases provided therein, **WHETHER OR NOT YOU SUBMIT A CLAIM FORM OR RECEIVE A PAYMENT.**
5. If you are dissatisfied with the Claims Administrator's determination of your claim, you may ask the Court, which retains jurisdiction over all Settlement Class Members and the claims administration process, to decide the issue by submitting a written request for court review to the Claims Administrator.

B. CLAIMANT IDENTIFICATION

1. If you purchased or otherwise acquired DeVry Education Group, Inc. publicly-traded common stock and/or exchange-traded call options (and/or sold exchange-traded put options on such common stock) ("DeVry Equity Securities") during the period from August 26, 2011 through January 27, 2016, inclusive (the "Settlement Class Period") and held the securities in your name, you are the beneficial purchaser as well as the record purchaser. If, however, you purchased or otherwise acquired DeVry Equity Securities during the Settlement Class Period through a third party, such as a brokerage firm, you are the beneficial purchaser and the third party is the record purchaser.

¹ The terms of the Settlement are in the Stipulation of Settlement, dated August 29, 2019 (the "Settlement Agreement"), which can be viewed at www.DevrySecuritiesSettlement.com and www.labaton.com. All capitalized terms not defined in this Claim Form have the same meanings as defined in the Settlement Agreement.



2. Use Part I of this form entitled "Claimant Identification" to identify each beneficial purchaser or acquirer of DeVry Equity Securities that form the basis of this claim, as well as the purchaser or acquirer of record if different. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL PURCHASER(S) OR THE LEGAL REPRESENTATIVE OF SUCH PURCHASER(S).**

3. All joint purchasers must sign this claim form. Executors, administrators, guardians, conservators, and trustees must complete and sign this claim on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

C. IDENTIFICATION OF TRANSACTIONS

1. Use Parts II - IV of this form to supply all required details of your transaction(s) in DeVry Equity Securities. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

2. The Action and Settlement involve the time period when the Company was known as DeVry Education Group. During this time, the Company's common stock traded under the ticker "DV." On or about May 24, 2017, the Company changed its name to Adtalem and its common stock began to trade under the ticker "ATGE." Accordingly, your account information may refer to DV before May 2017, but ATGE after May 2017.

3. On the schedules, provide all of the requested information with respect to: (i) all of your holdings of DeVry Equity Securities as of the beginning of trading on August 26, 2011; (ii) all of your purchases, acquisitions, and sales of DeVry Equity Securities during the time periods below; and (iii) all of your holdings in DeVry Equity Securities as of the close of trading on April 25, 2016, whether such purchases, acquisitions, sales or transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

4. The date of covering a "short sale" is deemed to be the date of purchase of DeVry common stock. The date of a "short sale" is deemed to be the date of sale.

5. Copies of broker confirmations or other documentation of your transactions in DeVry Equity Securities must be attached to your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. **THE PARTIES DO NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS IN DEVRY EQUITY SECURITIES.**

6. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All claimants **MUST** submit a manually signed paper Claim Form, whether or not they also submit electronic copies, **no later than November 29, 2019**. If you wish to file your claim electronically, you must contact the Claims Administrator at Info@DeVrySecuritiesSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data.



Official
Office
Use
Only

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION
*Pension Trust Fund for Operating Engineers v.
DeVry Education Group, Inc., et al.*
Case No. 1:16-cv-05198 (N.D. Ill.)

Must Be Postmarked or Received
No Later than November 29, 2019

DEO

PROOF OF CLAIM AND RELEASE

Please Type or Print in the Boxes Below

Do NOT use Red Ink, Pencil, or Staples

PART I: CLAIMANT IDENTIFICATION

Last Name (Beneficial Owner)	M.I.	First Name (Beneficial Owner)
Last Name (Co-Beneficial Owner)	M.I.	First Name (Co-Beneficial Owner)
Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA (specify)		
Trustee/Asset Manager/Nominee/Record Owner's Name (If Different from Beneficial Owner Listed Above)		
Account#/Fund# (Not Necessary for Individual Filers)		

Last Four Digits of Social Security Number	or	Taxpayer Identification Number
Telephone Number (Primary Daytime)		Telephone Number (Alternate)
E-mail Address		

Mailing Address		
Mailing Address		
City	State	Zip Code
Foreign Province	Foreign Postal Code	Foreign Country Name/Abbreviation

FOR CLAIMS PROCESSING ONLY	OB	CB	<input type="radio"/> ATP <input type="radio"/> KE <input type="radio"/> ICI	<input type="radio"/> BE <input type="radio"/> DR <input type="radio"/> EM	<input type="radio"/> FL <input type="radio"/> ME <input type="radio"/> ND	<input type="radio"/> OP <input type="radio"/> RE <input type="radio"/> SH	MM / DD / YYYY	FOR CLAIMS PROCESSING ONLY
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PART II. SCHEDULE OF TRANSACTIONS IN DEVRY PUBLICLY-TRADED COMMON STOCK

1. **HOLDINGS AS OF OPENING OF TRADING ON AUGUST 26, 2011** – State the total number of shares of DeVry common stock held as of the opening of trading on August 26, 2011. (Must be documented.) If none, write “zero” or “0.”

2. **PURCHASES/ACQUISITIONS FROM AUGUST 26, 2011 THROUGH JANUARY 27, 2016.** – Separately list each and every purchase/acquisition of DeVry common stock from after the opening of trading on August 26, 2011 through and including the close of trading on January 27, 2016. (Must be documented.)

PURCHASES						Total Purchase Price (excluding taxes, commissions and fees). Please round off to the nearest whole dollar
Date of Purchase (List Chronologically)		Number of Shares Purchased	Purchase Price Per Share			
M	M	D	D	Y	Y	
1.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> . <input type="text"/> <input type="text"/> .00
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> . <input type="text"/> <input type="text"/> .00
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> . <input type="text"/> <input type="text"/> .00
4.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> . <input type="text"/> <input type="text"/> .00

3. **PURCHASES/ACQUISITIONS FROM JANUARY 28, 2016 THROUGH APRIL 25, 2016** – State the total number of shares of DeVry common stock purchased/acquired from after the opening of trading on January 28, 2016 through and including the close of trading on April 25, 2016.² (Must be documented.) If none, write “zero” or “0.”

4. **SALES FROM AUGUST 26, 2011 THROUGH APRIL 25, 2016** – Separately list each and every sale/disposition of DeVry common stock from after the opening of trading on August 26, 2011 through and including the close of trading on April 25, 2016. (Must be documented.)

SALES						Total Sale Price (excluding taxes, commissions and fees). Please round off to the nearest whole dollar
Date of Sale (List Chronologically)		Number of Shares Sold	Sale Price Per Share			
M	M	D	D	Y	Y	
1.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> . <input type="text"/> <input type="text"/> .00
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> . <input type="text"/> <input type="text"/> .00
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> . <input type="text"/> <input type="text"/> .00
4.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$ <input type="text"/> . <input type="text"/> <input type="text"/> .00

5. **ENDING HOLDINGS** – State the total number of shares of DeVry common stock held as of the close of trading on April 25, 2016. (Must be documented.) If none, write “zero” or “0.”

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, PLEASE PHOTOCOPY THIS PAGE,
WRITE YOUR NAME ON THE COPY AND FILL THIS CIRCLE:
IF YOU DO NOT FILL IN THIS CIRCLE THESE ADDITIONAL PAGES MAY NOT BE REVIEWED.
YOU MUST READ AND SIGN THE RELEASE ON PAGE 9. FAILURE TO SIGN THE RELEASE
MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

² **Please note:** Information requested with respect to your transactions in DeVry Equity Securities from after the opening of trading on January 28, 2016 through and including the close of trading on April 25, 2016 is needed in order to balance your claim; purchases during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim pursuant to the Plan of Allocation.



PART IV. TRANSACTIONS IN DEVRY EXCHANGE-TRADED PUT OPTIONS

1. **HOLDINGS AS OF OPENING OF TRADING ON AUGUST 26, 2011** – State the total number of put option contracts held as of the opening of trading on August 26, 2011. (Must be documented.) If none, write “zero” or “0.”

Strike Price of Put Option Contract	Number of Put Option Contracts Held	Expiration Date of Put Option Contract (MM/YY)

2. **SALES (WRITING OF PUT OPTIONS) FROM AUGUST 26, 2011 THROUGH JANUARY 27, 2016** – Separately list each and every sale (writing) of put option contracts from after the opening of trading on August 26, 2011 through and including the close of trading on January 27, 2016. (Must be documented.)

Date of Sale (List Chronologically) (MM/DD/YY)	Strike Price of Put Option Contract	Number of Put Option Contracts Sold	Sale Price Per Put Option Contract	Total Sale Price (excluding taxes, commissions and fees)	Insert “A” if Assigned. Insert “X” if Expired	Expiration Date of Put Option Contract (MM/YY)

YOU MUST READ AND SIGN THE RELEASE ON PAGE 9. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST PHOTOCOPY THIS PAGE AND FILL THIS CIRCLE:



PART V. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

YOU MUST READ AND SIGN THE RELEASE BELOW. FAILURE TO SIGN MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

1. I (We) submit this Proof of Claim and Release under the terms of the Stipulation of Settlement, dated August 29, 2019 described in the accompanying Notice, which I have read. I (We) also submit to the jurisdiction of the United States District Court for the Northern District of Illinois, with respect to my (our) claim as a Settlement Class Member and for purposes of enforcing the Releases provided for in the Settlement.

2. I (We) agree to furnish additional information to the Claims Administrator to support this claim (including transactions in other DeVry securities) if requested to do so. I (We) agree to be subject to discovery with respect to the validity and/or amount of my (our) claim and agree that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of my (our) claim.

3. I (We) consent to summary disposition by the Court, without any right of appeal or review by an appellate court, with respect to the validity and/or amount of, or any other dispute regarding, my (our) claim.

4. I (We) have not submitted any other claim in the Settlement covering the same purchases or sales of DeVry Equity Securities during the Settlement Class Period and know of no other person having done so on my (our) behalf.

5. I (We) acknowledge that I am (we are) members of the Settlement Class and bound by and subject to the terms of any judgment that may be entered in connection with the Settlement. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge from the Released Claims and the Releases each and all of the Released Defendant Parties, all as defined in the accompanying Notice and the Stipulation of Settlement (Sections I.B. and VI.A.). This release shall be of no force or effect unless and until the Court approves the Settlement and the Settlement becomes effective on the Effective Date (as defined in the Stipulation).

6. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

7. I (We) hereby warrant and represent that I (we) have included the information requested about all of my (our) transactions in DeVry Equity Securities that are the subject of this claim, as well as the opening and closing positions in such securities held by me (us) on the dates requested in this Claim Form.

8. I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code. (Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the prior sentence.)

I (We) declare under penalty of perjury under the laws of the United States of America that all of the foregoing information supplied on and with this Claim Form by the undersigned is true and correct.

Executed this _____ day of _____ in _____ (Month/Year) (City/State/Country)

Signature of Claimant

(Type or print name of Claimant)

Signature of Joint Claimant, if any

(Type or print name of Joint Claimant, if any)

Signature of person signing on behalf of Claimant

(Type or print name of person signing on behalf of Claimant)

Capacity of person signing on behalf of Claimant, if other than an individual (e.g., Administrator, Executor, Trustee, President, Custodian, Power of Attorney, etc.)



REMINDER CHECKLIST:

1. Please sign this Claim Form.
2. DO NOT HIGHLIGHT THE CLAIM FORM OR YOUR SUPPORTING DOCUMENTATION.
3. Attach only copies of supporting documentation as these documents will not be returned to you.
4. Keep a copy of your Claim Form for your records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. **Your claim is not deemed submitted until you receive an acknowledgment postcard.** If you do not receive an acknowledgment postcard within 60 days, please email the Claims Administrator at Info@DeVrySecuritiesSettlement.com or call toll-free at 1-888-810-9152.
6. If you move after submitting this Claim Form, please notify the Claims Administrator of the change in your address, otherwise you may not receive additional notices or payment.

